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**Title of Application:** METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR CREATING AND CONVERTING TO UNICODE DATA FROM SINGLE BYTE CHARACTER SETS, DOUBLE BYTE CHARACTER SETS, OR MIXED CHARACTER SETS COMPRISING BOTH SINGLE BYTE AND DOUBLE BYTE CHARACTER SETS

**Transmitted with the patent application are the following:**

- ☒ 47 Page(s) Specification, Claims and Abstract  
☒ 7 Page(s) Formal drawings  
☒ 2 Page(s) Declaration and Power of Attorney  
☒ 3 Page(s) Assignment of the Invention to International Business Machines Corporation (inc. Rec Cover Sheet in Duplicate)  
☒ Page(s) Information Disclosure Statement (IDS/PTO 1449) (copies of citations not included in number of pages)  
☒ Copies of IDS citations  
☒ Return Receipt Postcard (MPEP 503).

**Fee Calculation:**

	Claims		Extra	Rate	Fees
<b>Basic Fee</b>					\$690.00
<b>Total Claims</b>	24	-20 =	4	x \$18.00	\$ 72.00
<b>Independent Claims</b>	3	-3 =	0	x \$78.00	n/a
<b>Multiple Dependent Claims</b>				+\$260.00	
				<b>TOTAL</b>	<b>\$762.00</b>

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## **SPECIFICATION**

IBM Docket No. STL9-2000-0055

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN that I, John R. Ehrman of Sunnyvale, California and citizen of the United States have invented new and useful improvements in

**METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR  
CREATING AND CONVERTING TO UNICODE DATA FROM SINGLE BYTE  
CHARACTER SETS, DOUBLE BYTE CHARACTER SETS, OR MIXED  
CHARACTER SETS COMPRISING BOTH SINGLE BYTE AND DOUBLE BYTE  
CHARACTER SETS**

of which the following is a specification:

1       **METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR**  
2       **CREATING AND CONVERTING TO UNICODE DATA FROM SINGLE BYTE**  
3       **CHARACTER SETS, DOUBLE BYTE CHARACTER SETS, OR MIXED**  
4       **CHARACTER SETS COMPRISING BOTH SINGLE BYTE AND DOUBLE BYTE**  
5       **CHARACTER SETS**

6  
7  
8       **CROSS-REFERENCE TO RELATED APPLICATIONS**

9  
10       Application Serial Number \_\_\_\_\_, filed concurrently herewith on July 10, 2000  
11       for **DATA STRUCTURE FOR CREATING, SCOPING, AND CONVERTING TO**  
12       **UNICODE DATA FROM SINGLE BYTE CHARACTER SETS, DOUBLE BYTE**  
13       **CHARACTER SETS, OR MIXED CHARACTER SETS COMPRISING BOTH**  
14       **SINGLE BYTE AND DOUBLE BYTE CHARACTER SETS (IBM Docket STL9-2000-**  
15       **0068), currently co-pending, and assigned to the same assignee as the present invention; and**

16       Application Serial Number \_\_\_\_\_, filed concurrently herewith on July 10, 2000  
17       for **METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR**  
18       **SCOPING THE CONVERSION OF UNICODE DATA FROM SINGLE BYTE**  
19       **CHARACTER SETS, DOUBLE BYTE CHARACTER SETS, OR MIXED**  
20       **CHARACTER SETS COMPRISING BOTH SINGLE BYTE AND DOUBLE BYTE**  
21       **CHARACTER SETS (IBM Docket STL9-2000-0069), currently co-pending, and assigned to**  
22       the same assignee as the present invention.

23       The foregoing copending applications are incorporated herein by reference.

24  
25  
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## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The present invention relates in general to coded character sets for representing characters in a computer program, and more particularly to a creation of Unicode characters by converting from non-Unicode characters.

### 2. Description of the Related Art

Unicode is a new internationally standardized data encoding for character data which allows computers to exchange and process character data in any natural language text. Its most common usage is in representing each character as a sixteen-bit number. This is sometimes called a "double-byte" data representation as a byte contains eight bits.

Most existing computer hardware and software represents specific sets of characters in an eight-bit code, of which ASCII (American National Standard Code for Information Interchange) and EBCDIC (Extended binary-coded decimal interchange code) are typical examples. In such an eight-bit representation (also known as a single-byte representation), the limit of two-hundred-fifty-six (256) unique numeric values imposes a restriction on the set of distinct characters that may be encoded using the two-hundred-fifty-six distinct values. Thus, it is necessary to define different sets of encodings for each desired set of characters.

The chosen set of characters is called a "Character Set". Each member of the character set can be assigned a unique eight-bit numeric value ("Code Point") from the set of the two-hundred-fifty-six distinct values (Code Points). A group of assignments of characters and control function meanings to all available code points is called a "Code Page"; for example, the

1 assignments of characters and meanings to the two-hundred-fifty-six code points (0 through  
2 255) of an 8-bit code set is a Code Page. The combination of a specific set of characters and a  
3 specific set of numeric value assignments is called a "Coded Character Set". To distinguish  
4 among the many different assignments of characters to codings, each Coded Character set is  
5 assigned an individual identification number called a "Coded Character Set ID" (CCSID).  
6

7 In situations involving ideographic scripts such as Chinese, Japanese, or Korean, a  
8 hybrid or mixed representation of characters is sometimes used. Because the number of  
9 ideographic characters greatly exceeds the two-hundred-fifty-six possible representations  
10 available through the use of an eight-bit encoding, a special sixteen-bit encoding may be used  
11 instead. To manage such sixteen-bit representations in computing systems and devices built for  
12 eight-bit representations, two special eight-bit character codes are reserved and used in the  
13 eight-bit-character byte stream to indicate a change of alphabet representation. Typically, a  
14 string of characters will contain eight-bit characters in a single-byte representation. When the  
15 first of the two special character codes (commonly called a "Shift-Out" character) is  
16 encountered indicating a switch of alphabets, the bytes subsequent to the Shift-Out character  
17 are interpreted as double-byte pairs encoded in the special sixteen-bit double-byte encoding.  
18 At the end of the double-byte ideographic string, the other special eight-bit character code  
19 (commonly called a "Shift-In" character) is inserted to indicate that the following eight-bit  
20 bytes are to be interpreted as single-byte characters, as were those characters preceding the  
21 "Shift-Out" character. This hybrid representation is sometimes also called a "double-byte  
22 character set" (DBCS) representation. When such DBCS strings are mixed with SBCS  
23 characters, the representation is sometimes called a "mixed SBCS/DCBS" representation.  
24

25 Ideographic characters may also be represented as sixteen-bit characters in strings  
26 without any SBCS characters other than the special initial "Shift-Out" and final "Shift-In"  
27 character codes if they are used in a context where it is known that there are no mixtures of  
28 eight-bit characters and sixteen-bit characters. Such usage is sometimes called "pure DBCS".  
29 The Shift-Out and Shift-In codes are still required as the text of the remainder of the program

may use single-byte encodings.

To illustrate, assume that the "Shift-Out" character is represented by the character '<' and that the "Shift-In" character is represented by the character '>'. Then each of the three representations just described may be written as strings of these forms:

'abcDEF'	SBCS string
'AB<wxyz>CD'	mixed SBCS/DBCS string
'<wxyz>'	pure DBCS string

The actual computer storage representation of each of these three character formats would generally be similar to the following representations. For example, the SBCS string would generally appear in storage as follows:

```
+---+---+---+---+---+---+
'abcDEF' | a | b | c | D | E | F |
+---+---+---+---+---+---+
Six bytes, one byte per character
```

The hexadecimal encoding of this string in a standard representation may appear as:

```
+---+---+---+---+---+---+
| 81| 81| 83| C4| C5| C6|
+---+---+---+---+---+---+
```

After translation to Unicode, the same characters may be represented by the following bytes (shown in hexadecimal encoding):

```
+---+---+---+---+---+---+---+---+---+---+
| 00 61| 00 62| 00 63| 00 44| 00 45| 00 46|
+---+---+---+---+---+---+---+---+---+---+
Twelve bytes, two bytes per character
```

Similarly, the computer storage representation of a mixed SBCS/DBCS string may generally appear as follows where 'wxyz' represents the four bytes needed to encode the two ideographic DBCS characters between the Shift-Out and Shift-In characters, and the '?' strings indicate the specific encodings assigned to the representations of the DBCS characters:

```

+---+---+---+---+---+---+---+---+---+---+
'AB<wxyz>CD' | A | B | < | ?? ?? | ?? ?? | > | C | D |
+---+---+---+---+---+---+---+---+---+---+
                Ten bytes

```

The hexadecimal encoding of this string in a standard representation may appear as follows (wherein the Shift-Out and Shift-In characters have encodings X'0E' and X'0F' respectively):

```

+---+---+---+---+---+---+---+---+---+---+
| C1| C2| 0E| ??| ??| ??| ??| 0F| C3| C4|
+---+---+---+---+---+---+---+---+---+---+

```

When translated to Unicode, the same characters may be represented by these bytes (shown in hexadecimal encoding):

```

+---+---+---+---+---+---+---+---+---+---+
| 00 41| 00 42| ?? ?? | ?? ?? | 00 43| 00 44|
+---+---+---+---+---+---+---+---+---+---+
                Twelve bytes, two bytes per character

```

Note that the Shift-Out and Shift-In characters have been removed, as they are not necessary in the Unicode representation.

For the third type of character string containing pure DBCS characters, the computer storage representation may appear as follows:

```
+---+---+---+---+---+
'<wxyz>' | < | ?? ?? | ?? ?? | > | Six bytes
+---+---+---+---+---+
```

The hexadecimal encoding of this string in a standard representation may appear as follows (wherein the Shift-Out and Shift-In characters have encodings X'0E' and X'0F' respectively):

```
+---+---+---+---+---+
| 0E| ??| ??| ??| ??| 0F|
+---+---+---+---+---+
```

When translated to Unicode, the same characters would be represented by these bytes (shown in their hexadecimal encoding):

```
+---+---+---+---+
| ?? ?? | ?? ?? |
+---+---+---+---+
Four bytes, two bytes per character
```

In typical usage, many coded character sets are used to represent the characters of various national languages. As computer applications evolve to support a greater range of national languages, there is a corresponding requirement to encompass a great multiplicity of "alphabets". For example, a software supplier in England may provide an account management program to a French company with a subsidiary in Belgium whose customers include people with names and addresses in Danish, Dutch, French, Flemish, and German alphabets. If the program creates billings or financial summaries, it must also cope with a variety of currency symbols. Using conventional technology, it may be difficult, or even impossible, to accommodate such a variety of alphabets and characters using a single eight-bit coded character set.

In other applications, a program may be required to present messages to its users in any

of several selectable national languages (this is often called "internationalization"). Creating the message texts requires that the program's suppliers be able to create the corresponding messages in each of the supported languages, which requires special techniques for handling a multiplicity of character sets in a single application.

Unicode offers a solution to the character encoding problem, by providing a single sixteen-bit representation of the characters used in most applications. However, most existing computer equipment creates, manages, displays, or prints only eight-bit single-byte data representations. In order to simplify the creation of double-byte Unicode data, there is a need for ways to allow computer users to enter their data in customary single-byte, mixed SBCS/DBCS, and pure DBCS formats, and then have it converted automatically to the double-byte Unicode representation.

## SUMMARY OF THE INVENTION

The present invention comprises a method, system, article of manufacture, and a computer program product for representing characters in a computer program, and more particularly to a creation of Unicode characters by converting from non-Unicode characters. A preferred embodiment of the present invention provides methods for specifying the types of constants whose character values are to be converted to Unicode; for specifying which code page or pages are used for specifying the character encodings used in the source program for writing the character strings to be converted to Unicode; and that can be used to perform conversions from SBCS, mixed SBCS/DBCS, and pure DBCS character strings to Unicode. A syntax suitable for specifying character data conversion from SBCS, mixed SBCS/DBCS, and pure DBCS representations to Unicode utilizes an extension to the conventional constant subtype notation. In converting the nominal value data to Unicode, currently relevant SBCS and DBCS code pages are used, as specified by three levels or scopes derived from either global options, from local AOPTIONS statement specifications, or from constant-specific modifiers. Global code page specifications apply to the entire source program. These global specifications allow a programmer to declare the source-program code page or code pages just once. These specifications then apply to all constants containing a request for conversion to Unicode. Local code page specifications apply to all subsequent source-program statements. These local specifications allow the programmer to create groups of statements containing Unicode conversion requests, all of which use the same code page or code pages for their source-character encodings. Code page specifications that apply to individual constants allow a very detailed level of control over the source data encodings to be used for Unicode conversion. The conversion of source data to Unicode may be implemented inherently to the translator (assembler, compiler, or interpreter) wherein it recognizes and parses the complete syntax of the statement in which the constant or constants is specified, and performs the requested conversion. Alternatively, an external function may be invoked by a variety of source language syntaxes which parses as little or as much of the source statement as its

1 implementation provides, and returns the converted value for inclusion in the generated  
2 machine language of the object program. Alternatively, the conversion may be provided by the  
3 translator's macro instruction definition facility.  
4

5 One aspect of a preferred embodiment of the present invention provides for the  
6 specification of the types of constants whose character values are to be converted to Unicode.  
7

8 Another aspect of a preferred embodiment of the present invention provides for the  
9 specification of which code page or pages are used for specifying the character encodings used  
10 in the source program for writing the character strings to be converted to Unicode.  
11

12 Another aspect of a preferred embodiment of the present invention performs  
13 conversions from SBCS, mixed SBCS/DBCS, and pure DBCS character strings to Unicode.  
14

15 Another aspect of a preferred embodiment of the present invention provides a syntax  
16 suitable for specifying character data conversion from SBCS, mixed SBCS/DBCS, and pure  
17 DBCS representations to Unicode utilizing an extension to the conventional constant subtype  
18 notation.  
19

20 Another aspect of a preferred embodiment of the present invention converts a nominal  
21 value data to Unicode using currently relevant SBCS and DBCS code pages as specified by a  
22 level or scope.  
23

24 Another aspect of a preferred embodiment of the present invention provides a global  
25 level or scope comprising a global code page specification which applies to an entire source  
26 program.  
27

28 Another aspect of a preferred embodiment of the present invention provides a local  
29 level or scope comprising a local code page specification which applies to all subsequent

1 source-program statements.

2  
3 Another aspect of a preferred embodiment of the present invention provides an  
4 individual constant level or scope comprising a code page specification that applies to an  
5 individual constant.

6  
7 A preferred embodiment of the present invention has the advantage of providing ease of  
8 Unicode data creation: data can be entered into a program using familiar and customary  
9 techniques, and in the user's own language and preferred character sets, without having to know  
10 any details of SBCS, DBCS, or Unicode character representations or encodings.

11  
12 A preferred embodiment of the present invention has the further advantage of providing  
13 an ability to handle multiple single-byte and double-byte input data encodings, each specific to  
14 a national language or a national alphabet. Such input data may be written in several  
15 convenient forms, such as SBCS, mixed SBCS/DBCS, and pure DBCS.

16  
17 A preferred embodiment of the present invention has the further advantage of providing  
18 a variety of scopes for specifying controls over source data representations and encodings,  
19 such that the user has complete control over the range of these specifications, ranging from  
20 global (applying to all requested conversions in the entire program), local (applying to a range  
21 of statements containing data to be converted) to individual or constant-specific (applying to a  
22 single instance of data to be converted).

23  
24 A preferred embodiment of the present invention has the further advantage of providing  
25 an open-ended design allowing easy addition of supported character sets, by simply providing  
26 additional Mapping Tables for each supported character set, and without any need to modify  
27 the internal logic of the translator (assembler, compiler, or interpreter) to be cognizant of such  
28 added character sets and tables.

1 A preferred embodiment of the present invention has the further advantage of having no  
2 dependence on operating system environments or run-time conversion services, which may or  
3 may not be available in the environment in which character data in the source programs are  
4 being converted to Unicode and translated to machine language.

5  
6 A preferred embodiment of the present invention has the further advantage of providing  
7 a special language syntax specifying constants to be converted to Unicode, creating no conflicts  
8 with existing applications. This syntax is also a natural and intuitively familiar extension of the  
9 existing syntax for specifying character constants.

10  
11 A preferred embodiment of the present invention has the further advantage of having no  
12 need to prepare nor accept programs written using Unicode characters, and no need for  
13 special Unicode-enabled input/output devices or mapping software, because of the ease of data  
14 creation and the variety of data formats described above.

15  
16 A preferred embodiment of the present invention has the further advantage of providing  
17 an ability to implement conversions in multiple ways to provide flexibility, including  
18 implementations in the translator itself ("native" implementation), or by using macro or  
19 preprocessor instructions, or by utilizing the translator's support for externally-defined and  
20 externally-written functions.

21  
22 A preferred embodiment of the present invention has the further advantage of providing  
23 an ability to support normal sixteen-bit Unicode and Unicode UTF-8 character formats as the  
24 results of converting any of the source data formats described above.

## BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention and the advantages thereof, reference is now made to the Description of the Preferred Embodiment in conjunction with the attached Drawings, in which:

**Figure 1** is a block diagram of a distributed computer system used in performing the method of the present invention, forming part of the apparatus of the present invention, and which may use the article of manufacture comprising a computer-readable storage medium having a computer program embodied in said medium which may cause the computer system to practice the present invention;

**Figure 2** is a block diagram of a mapping table data structure preferred in carrying out a preferred embodiment of the present invention;

**Figure 3** and **Figure 4** are flowcharts of method steps preferred in carrying out a preferred embodiment of the present invention; and

**Figures 5, 6, and 7** are listings of computer program code which implements the method steps preferred in carrying out a preferred embodiment of the present invention.

## DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring first to **Figure 1**, there is depicted a graphical representation of a data processing system **8**, which may be utilized to implement the present invention. As may be seen, data processing system **8** may include a plurality of networks, such as Local Area Networks (LAN) **10** and **32**, each of which preferably includes a plurality of individual computers **12** and **30**, respectively. Of course, those skilled in the art will appreciate that a plurality of Intelligent Work Stations (IWS) coupled to a host processor may be utilized for each such network. Each said network may also consist of a plurality of processors coupled via a communications medium, such as shared memory, shared storage, or an interconnection network. As is common in such data processing systems, each individual computer may be coupled to a storage device **14** and/or a printer/output device **16** and may be provided with a pointing device such as a mouse **17**.

The data processing system **8** may also include multiple mainframe computers, such as mainframe computer **18**, which may be preferably coupled to LAN **10** by means of communications link **22**. The mainframe computer **18** may also be coupled to a storage device **20** which may serve as remote storage for LAN **10**. Similarly, LAN **10** may be coupled via communications link **24** through a sub-system control unit/communications controller **26** and communications link **34** to a gateway server **28**. The gateway server **28** is preferably an IWS which serves to link LAN **32** to LAN **10**.

With respect to LAN **32** and LAN **10**, a plurality of documents or resource objects may be stored within storage device **20** and controlled by mainframe computer **18**, as resource manager or library service for the resource objects thus stored. Of course, those skilled in the art will appreciate that mainframe computer **18** may be located a great geographic distance from LAN **10** and similarly, LAN **10** may be located a substantial distance from LAN **32**. For example, LAN **32** may be located in Belgium while LAN **10** may be located within England

1 and mainframe computer **18** may be located in New York.

2  
3 Software program code which employs the present invention is typically stored in the  
4 memory of a storage device **14** of a stand alone workstation or LAN server from which a  
5 developer may access the code for distribution purposes, the software program code may be  
6 embodied on any of a variety of known media for use with a data processing system such as a  
7 diskette or CD-ROM or may be distributed to users from a memory of one computer system  
8 over a network of some type to other computer systems for use by users of such other systems.  
9 Such techniques and methods for embodying software code on media and/or distributing  
10 software code are well-known and will not be further discussed herein.

11  
12 As will be appreciated upon reference to the foregoing, it may be desirable for a user to  
13 develop a multi-lingual or multi-alphabet software application. For example, a user of a  
14 software supplier in England may develop an account management program on a workstation  
15 **12** for use on a French company's computer **26** wherein the French company has a subsidiary  
16 in Belgium running a computer **28** which must process requests from users operating  
17 computers **30**, each of which may be interfacing in a different language, such as Danish, Dutch,  
18 French, Flemish, or German. The present invention provides character specification and  
19 conversion capabilities to accommodate such a variety of alphabets and characters.

20  
21 The following description of an assembler based preferred embodiment of the present  
22 invention assumes familiarity with the assembly language described in "IBM High Level  
23 Assembler for MVS & VM & VSE Language Reference, Release 3", IBM Manual Number  
24 SC26-4940-02, and the assembler options and external function interfaces described in "IBM  
25 High Level Assembler for MVS & VM & VSE Programmer's Guide, Release 3", IBM Manual  
26 Number SC26-4941-02. While this preferred embodiment of the present invention is described  
27 in the context of the IBM Assembler Language, it can apply to other language translators such  
28 as assemblers, compilers, and interpreters.

1 The invention concerns the creation of Unicode data, not its processing. The invention  
2 may be described in three ways:

- 3 A. Methods for specifying the types of constants whose character values are to be  
4 converted to Unicode;
- 5 B. Methods for specifying which code page or pages are used for specifying the character  
6 encodings used in the source program for writing the character strings to be converted  
7 to Unicode.; and
- 8 C. Methods that can be used to perform conversions from SBCS, mixed SBCS/DBCS,  
9 and pure DBCS character strings to Unicode.

10  
11 In the following descriptions, the terms "source" or "source string" refer to the  
12 characters to be converted to Unicode, and "source code page" refers to the particular encoding  
13 used to represent the source-string characters as numeric quantities. Similarly, the terms  
14 "target" or "target string" refer to the set of Unicode characters into which the source string is  
15 being converted.

### 16 17 **Standard Syntax**

18 The terminology of the IBM Assembler Language is used to specify character constants.  
19 The DC ("Define Constant") instruction directs the Assembler to convert the characters  
20 enclosed in apostrophes specified in the operand field to the proper machine language  
21 representation:

22 DC C'...SBCS characters...'	Convert '...SBCS characters...' to the
	proper machine language representation.
23	
24 DC C'...SBCS and DBCS characters...'	Convert '...SBCS and DBCS characters...'
25	to the proper machine language
26	representation. Requires that the DBCS
27	option be specified.
28 DC G'...pure DBCS characters...'	Convert '...pure DBCS characters...' to the
29	proper machine language representation.

Requires that the DBCS option be specified.

The DC (Define Constant) statement has this general form:

label DC (DUPLICATION\_FACTOR)(TYPE)(MODIFIERS)'(NOMINAL\_VALUE)'

where the parentheses simply delimit the various fields, and are not part of the syntax of the statement. In general, only the TYPE and NOMINAL\_VALUE fields are required. For example, a statement defining a character constant could be written:

DC C'This is a character constant'

where the TYPE is indicated by the letter C.

In the general form of the DC statement, each of the parenthesized terms has the following meanings:

1. The optional DUPLICATION\_FACTOR field specifies that the constant defined by the following elements should be repeated a specified number of times. For example,

DC 3C'XYZ='

would generate a machine language constant from the character string 'XYZ=XYZ=XYZ=', containing three repetitions of the nominal value string 'XYZ='.

2. TYPE specifies the type of encoding to be created for the values specified in the NOMINAL\_VALUE field. In a preferred embodiment of the present invention, types of specific interest and applicability are Types C and G, for "Character" and "Graphic" constants, respectively. Other TYPE values are used to indicate that the NOMINAL\_VALUE data should be converted to machine language data representations such as binary integer, floating point, packed decimal, and others as described in the "High Level Assembler Language Reference" citation.

The TYPE specification may also include a "subtype" specification to provide

1 additional refinements in the type of conversion to be performed. For example, the "D"  
2 type indicates that the NOMINAL\_VALUE is to be converted to an eight-byte floating  
3 point representation; two subtypes are supported, such that "DH" indicates conversion  
4 to hexadecimal floating point, and "DB" indicates conversion to binary floating point.

5  
6 3. MODIFIERS specify additional information to be used in creating the generated  
7 machine language constant. A preferred embodiment of the invention is primarily  
8 concerned with the "Length" modifier, which asserts the exact length required for the  
9 generated data. An additional modifier may be used for specifying code pages to be  
10 used in converting individual constants.

11  
12 4. NOMINAL\_VALUE is the data to be converted. A preferred embodiment of the  
13 present invention is concerned with character data in three forms: SBCS data, mixed  
14 SBCS/DBCS data, and pure DBCS data.

## 15 16 **Literals**

17  
18 Literals are a convenient form of declaring a constant in the immediate context of its  
19 use. For example, to set the address of a character constant into General Purpose Register 2, a  
20 programmer may write the "Load Address" (LA) instruction thus:

21 LA 2,=C'A Character Constant'

22 where the equal sign indicates to the assembler that the following operand is a literal constant.  
23 The assembler effectively creates a hidden internal name for the constant, replaces the literal  
24 operand in the statement by the internal name, and places the text of a statement defining the  
25 constant labeled with the internal name in a designated (or default) place in the program. This  
26 saves the programmer from having to write two statements, such as:

27 LA 2,Char\_Const

28 - - - other statements

29 Char\_Const DC C'A Character Constant'

1           Literals can easily be supported for all constant types described in this preferred  
2 embodiment of the present invention, and will therefore not be discussed further; such support  
3 is assumed throughout.  
4

5           The assembler also supports specialized forms of character-like data called "self-  
6 defining terms". These comprise decimal, binary, hexadecimal, character, and graphic (pure  
7 DBCS) forms. The values of all self-defining terms are fixed and predefined by the assembler.  
8 For example, the self-defining terms 193, B'11000001', X'C1', and C'A' are required to have  
9 identical values. For this reason, no dependence on code page specifications can be allowed for  
10 character or graphic self-defining terms, as their values would not be fixed.  
11

12           In the IBM High Level Assembler, the syntactic character set consists of  
13 (a) upper-case and lower-case letters;  
14 (b) decimal digits; and  
15 (c) the special characters: + - / \* . , ( ) @ # \_ & ' = blank.  
16 (d) The syntactically significant alphabetic character "\$" ("currency symbol") is not invariant  
17 across EBCDIC code pages; the Assembler Language requires it to have encoding X'5B', or 91  
18 decimal.  
19

20           Other characters are invariant across code pages, but they are not syntactically  
21 significant:

22           ; : ? " % < >  
23

24           The invariance or non-invariance of various syntactic characters is not significant to this  
25 preferred embodiment of the present invention, other than providing a vehicle for the proper  
26 recognition of character strings to be converted to Unicode. The character set used in character  
27 data may contain SBCS and DBCS character encodings from many possible code pages  
28 without affecting the syntactic or semantic behavior of the program, because the contexts  
29 specifying data to be converted to Unicode are limited and well defined.

1 This preferred embodiment supports the common programming practice that source  
2 programs (symbols, operation codes, etc.) are always created using a syntactic character set,  
3 which includes those characters needed by the assembler or other programming-language  
4 translator to correctly parse and tokenize the elements of the source program, and to identify  
5 those program elements specifically requesting conversion to Unicode. Conversion-specific  
6 character data appears only in restricted contexts, between the enclosing apostrophes of the  
7 CU-type or GU-type constants described below. Text to be converted may therefore be  
8 encoded in any desired manner.

9  
10 Although the preferred embodiment described uses the Extended Binary Coded  
11 Decimal Interchange Code (EBCDIC) for all but character data, this invention applies to any  
12 conventional character set used for creating programs, such as ASCII.

#### 13 14 15 **A. Source Data Specification Extensions for Unicode**

16  
17 A syntax suitable for specifying character data conversion from SBCS, mixed  
18 SBCS/DBCS, and pure DBCS representation to Unicode utilizes the constant subtype notation  
19 described above. To specify that the nominal value of character data is to be converted to  
20 Unicode, a programmer may write:

21 DC CU'...SBCS data...'	Convert SBCS to Unicode
22 DC CU'...SBCS/DBCS data...'	Convert mixed SBCS/DBCS to Unicode
23	Requires DBCS option
24 DC GU'...pure DBCS data...'	Convert pure DBCS data to Unicode
25	Requires DBCS option

26 The first of these is called "pure SBCS data" or simply "SBCS data". The second is called  
27 "mixed SBCS/DBCS data", or simply "mixed data". The third is called "pure DBCS data".

28  
29 In the preferred embodiment using the IBM High Level Assembler, the second and third

1 of these examples require that the DBCS option be specified so that mixed SBCS/DBCS data is  
2 recognized correctly, but other forms of recognition rules or syntaxes for the nominal value  
3 could also be used.

4  
5 Another language extension provided by the preferred embodiment defines a new  
6 constant type specifically for Unicode by assigning a TYPE code 'U'. Thus, a constant to be  
7 converted to Unicode may be written:

8 DC U'Text to be converted'

9 which could be equivalent in other respects to a constant of type C. If this form is chosen, an  
10 additional type letter would also have to be assigned to accommodate pure DBCS data, by  
11 analogy with the G-type constant. Because the assembler has already assigned a large range of  
12 letters for constant types, the method using a 'U' subtype described above is more economical  
13 in its use of the available set of type codes.

14  
15 In converting the nominal value data to Unicode, the assembler uses the currently  
16 relevant SBCS and DBCS code pages, as derived from global options or from local  
17 AOPTIONS statement specifications, or from constant-specific modifiers, as described below.

18  
19 As with other character-based data types, no particular data alignment in storage is  
20 assumed. However, since Unicode data naturally occurs in two-byte (sixteen-bit) forms, data  
21 alignment on two-byte boundaries could easily be supported if processing efficiencies indicate  
22 that doing so would be beneficial.

23  
24 To simplify usage of these new constant types, the syntax of CU-type and GU-type  
25 constants preferably should be unchanged from the current language definition for C-type and  
26 G-type constants. This allows users who are familiar with existing coding styles and  
27 conventions (i.e., the syntax of C-type and G-type constants) to utilize this invention with  
28 minimal additional effort.

## B. Methods for Specifying Source Code Pages

There are three levels, or "scopes", at which source code pages can be specified:

1. Global code page specifications that apply to the entire source program: these "global" specifications allow the programmer to declare the source-program code page or code pages just once. These specifications then apply to all constants containing a request for conversion to Unicode.
2. Local code page specifications that apply to all subsequent source-program statements: these "local" specifications allow the programmer to create groups of statements containing Unicode conversion requests, all of which use the same code page or code pages for their source-character encodings. For example, a program might contain statements defining messages in each of several national languages; each grouping could be preceded by such a "local" code page specification that applies to all the statements of that group, until a subsequent local specification is provided that applies to the following group.
3. Individual constant code page specifications that apply to individual constants: these allow a very detailed level of control over the source data encodings to be used for Unicode conversion. For example, if a message in one national language must contain a segment written in a different national language, each segment of the message can specify the encoding used for its characters.

### B.1. Global Source Code Page Specification

Global source code specifications apply to all DC ("Define Constant") statements in the source program to which Unicode conversion should be applied. These global specifications would typically be specified as "options" or "parameters" presented to the Assembler at the time it is invoked or initialized, so that the Assembler can set up any needed information that will apply to the entire source program translation.

The forms that such global source code specification options may take include:

CODEPAGE(nnn)	specifies a SBCS code page
CODEPAGE(nnn,nnn...)	specifies a set of SBCS code pages (Example 1)
CODEPAGE(nnn,sss)	specifies a SBCS code page and a DBCS code page
DBCS(sss)	specifies a DBCS code page and enables recognition of DBCS data
DBCS(sss,sss,...)	specifies a set of DBCS code pages and enable recognition of DBCS data (Example 2)
DBCS(CODEPAGE(sss))	specifies a DBCS code page and enables recognition of DBCS data

and so forth, where values such as nnn and sss are Coded Character Set IDs (CCSIDs).

Combinations and variations of the above, as well as abbreviations of the keywords, are equally useful. Default code page values can also be specified at the time the Assembler is installed on the user's system, allowing Unicode translations to be specified in the program without the need for invocation or initialization options.

In addition to these "invocation" options, the preferred embodiment allows the user to specify certain options to be included in the statements of the source program, using the \*PROCESS statement. Thus, any of the above option forms could be placed in the source module with a statement like:

\*PROCESS CODEPAGE(nnn)

and so forth, for all possible variations. An additional capability is provided with the

\*PROCESS statement: if the OVERRIDE(...) option is specified, as in:

\*PROCESS OVERRIDE(CODEPAGE(nnn))

With the OVERRIDE(...) option, the user can thereby specify that no matter what CODEPAGE options are specified when the Assembler is invoked, the global CODEPAGE value or values cannot be changed from the value(s) required to produce correct conversion of the constants in the source program.

## B.2. Local Source Code Page Specification

The IBM High Level Assembler provides a mechanism allowing users to make local adjustments or overrides to options that can also be specified "globally". This mechanism is the ACONTROL statement. For example, if the user wishes that the assembler not diagnose certain substring operations, the user may specify:

```
ACONTROL FLAG(NOSUBSTR) (Assembler ignores possibly-invalid substring
                           techniques)
```

```
--- statements with unusual substring coding techniques ---
```

```
ACONTROL FLAG(SUBSTR) (Assembler resumes checking substring
                        techniques)
```

The ACONTROL statement can be used to specify localized controls over the source code pages to be used for converting designated forms of character data to Unicode. For example, distinct groups of statements can be converted to Unicode from separate code pages as follows:

```
ACONTROL CODEPAGE(nnn)
```

```
--- statements with character data to be converted to
```

```
--- Unicode using code page with CCSID nnn
```

```
ACONTROL CODEPAGE(mmm)
```

```
--- statements with character data to be converted to
```

```
--- Unicode using code page with CCSID mmm
```

Alternatively, if it is desired to specify multiple code pages to be used in converting constants in subsequent statements, the ACONTROL statements could be specified in alternative forms, such as:

. \* Example 3

ACONTROL CODEPAGE(nnn1,nnn2,...),DCBS(CODEPAGE(sss1,sss2,...))

--- statements with character data to be converted to Unicode

--- using code pages selected among the nnn and sss values

. \* Example 4

ACONTROL CODEPAGE(mmm1,mmm2,...),DBCS(CODEPAGE(ttt1,ttt2,...))

--- statements with character data to be converted to Unicode

--- using code pages selected among the mmm and ttt values

Thus, all the various formats of "global" options could be specified on ACONTROL statements.

In cases where the user wishes to revert from a local source code page specification to the global source code page specification, the following special notation may be used:

ACONTROL CODEPAGE(\*) (Revert to global source code page specifications)

Later, conversion and implementation techniques are described that involve methods that do not require direct implementation in the assembler itself, such as macro instructions and external functions. To assist such methods, the assembler can capture information from the options and/or ACONTROL statements in global system variable symbols. These system variable symbols are a method whereby the assembler can provide environmental and status information to macros and functions. In implementing conversion to Unicode data formats, the assembler can capture the designations of current code pages in system variable symbols such as:

&SYS\_SBCS\_CODEPAGE current SBCS code page

&SYS\_DBCS\_CODEPAGE current DBCS code page

The advantages of this increment in assembler capability will be illustrated below.

### B.3. Specifying Source Code Page for Individual Constants

The most discriminating level of code page specification is at the level of an individual constant. This invention involves adding a novel modifier, -- P meaning "Code Page"--, to the existing syntax for specifying constants to provide information about the code page or code pages used to create the source data for the constant.

To provide code page specifications for individual constants, another novel form of modifier is introduced:

DC CUP(nnn)'...SBCS data...'

which requests that the SBCS data provided using code page "nnn" be converted to Unicode.

DC CUP(nnn,sss)'...mixed SBCS/DBCS data...'

requests that the mixed SBCS/DBCS data provided using code page "nnn" for the SBCS data and the code page "sss" for the DBCS data be converted to Unicode.

DC GUP(sss)'...pure DBCS data...'

requests that the pure DBCS data provided using code page "sss" be converted to Unicode.

The above examples demonstrate the use of an explicit numeric specification of the value of the code page modifier. It is common practice in programming languages to use symbolic forms for important numeric quantities; this invention supports this technique. For example, if the statement:

MyCodePage Equ 1148

is used to declare that the symbol "MyCodePage" is equivalent to the value 1148, then the following two statements will be treated identically:

DC CUP(1148)'Text using code page 1148'

DC CUP(MyCodePage)'Text using code page 1148'

Thus, uses of this invention are not limited to strictly numeric specification of CCSIDs in all programming contexts.

For situations where more than one SBCS or DBCS code page is currently available (as exemplified in Examples 1, 2, 3, and 4 above), individual constants could refer indirectly to one of the previously specified code pages using a special "indicator" notation to select the desired code page. For example, suppose the ACONTROL statement of Example 3 immediately preceded these constants:

DC CUP(=1)'Convert this with code page nnn1'

DC CUP(=2)'Convert this with code page nnn2'

The notations "=1" and "=2" are intended to indicate that the first and second code pages declared in the ACONTROL statement should apply to each respective constant. The choice of the "=" character is of course arbitrary, and could be any character not allowed in valid language symbols. This level of constant-specific code page specification could also be used with U-type constants, as described above. Additional modifiers (such as length) can also be supported without any modifications to the existing language rules or implementation within the assembler.

### C. Conversion Techniques

Three alternative embodiments for implementing the conversion of source data to Unicode will be described:

1. The implementation is inherent to the assembler itself: the assembler recognizes and parses the complete syntax of the statement in which the constant or constants is specified, and performs the requested conversion.
  2. The implementation is provided in the form of an external function that can be invoked by a variety of source language syntaxes. The external function can parse as little or as much of the source statement as its implementation provides, and return the converted value to the assembler for inclusion in the generated machine language of the object program.
  3. The implementation is provided by the assembler's macro instruction definition facility.
- Each of these implementation techniques will be illustrated below.

## Mapping Tables

A key element of the conversion process is the Mapping Table. One mapping table is created for each source code page, as identified by its CCSID. Each mapping table contains the Unicode character corresponding to each single-byte or double-byte character in the specified coded character set, arranged in ascending order of the numeric encoding assigned to each source character, as illustrated in **Figure 2**.

A Mapping Table **280** typically consists of a fixed-length header **282** containing a number of fields identifying the table and its status, so that the assembler can verify that the correct table is being used for the requested conversion. Following the header are the Unicode characters **284**, **286**, ... and **288** in the exact order of the numeric encoding assigned to the corresponding source character.

Thus, the Unicode character corresponding to the source character having a numeric encoding value of 1 would be found at **286**. Similarly, the Unicode character corresponding to the source character having a numeric encoding value of k would be found at **288**.

A Mapping Table for a SBCS character set would typically have two-hundred-fifty-six Unicode character entries, while a mapping table for a DBCS character set could have as many as sixty-five-thousand-five-hundred-thirty-six (65536) Unicode character entries. If it is known that certain restrictions may be imposed on the range of encoding values permitted for the source characters, then the contents of the mapping tables can be optimized to take advantage of those restrictions. For example, typical DBCS character encodings do not permit assignment of numeric encoding values less than sixteen-thousand-seven-hundred-five (16705), so that mapping table entries would not be necessary for converting those encodings.

Note that for any given constant, either one or two mapping tables will be required for

converting the nominal value of the constant to Unicode. For SBCS and pure DBCS data, only a single mapping table is needed; for mixed SBCS/DBCS data, two mapping tables are required: one for the SBCS data and one for the DBCS data.

**Table 1** illustrates typical assignments of Coded Character Set IDs (CCSIDs) commonly used for single-byte encodings of character sets in widespread use. Further details may be found in the manual "IBM National Language Design Guide, Volume 2" (manual number SE09-8002-03).

**Table 1**

**Examples of CCSIDs for Commonly Used SBCS Character Sets**

SBCS CCSID	DESCRIPTION
01140	USA, Canada, Netherlands, Portugal, Brazil, Australia, New Zealand (00037 with euro)
01141	Austria, Germany (00273 with euro)
01142	Denmark, Norway (00277 with euro)
01143	Finland, Sweden (00278 with euro)
01144	Italy (00280 with euro)
01145	Spain, Latin America (Spanish) (00284 with euro)
01146	United Kingdom (00285 with euro)
01147	France (00297 with euro)
01148	Belgium, Switzerland, International Latin-1 (00500 with euro)

**Table 2** shows examples of typical code pages used for DBCS data in pure DBCS or mixed SBCS/DBCS contexts.

**Table 2**  
**Examples of DBCS Code Pages Suitable for Unicode Conversion**

DBCS CCSID	DESCRIPTION
00935	Simplified Chinese (S-Chinese) Host Mixed (including 1880 UDC and Extended SBCS)
00937	Traditional Chinese (T-Chinese) Host Mixed (including 6304 UDC and Extended SBCS)
04396	Japanese Host Double-Byte (including 1880 UDC) (User Definable Characters)
09125	Korean Host Mixed (including 1880 UDC)

### **C.1. Assembler Implementation**

To adapt the CCSID of a mapping table to a format usable by internal or operating system services to locate the required mapping table, the assembler can employ a variety of methods. One such technique uses the observation that each CCSID is sixteen bits long, and that its hexadecimal representation therefore contains exactly four hexadecimal digits. For example, CCSID number 01148 is equivalent to the hexadecimal value X'047C'. If those four hexadecimal digits are converted to character form, they can be attached to a standard prefix and used as a module name. For example, in the IBM High Level Assembler, such a module name could be created from a prefix 'ASMA' and a suffix given by the four hexadecimal digits, in this case 'ASMA047C'. This constructed name can then be used as the name of the mapping table in all service requests involving finding and loading the mapping table.

1 Referring now to **Figure 3** and **Figure 4**, the flowcharts illustrate the operations  
2 preferred in carrying out the preferred embodiment of the present invention. In the flowcharts,  
3 the graphical conventions of a diamond for a test or decision and a rectangle for a process or  
4 function are used. These conventions are well understood by those skilled in the art, and the  
5 flowcharts are sufficient to enable one of ordinary skill to write code in any suitable computer  
6 programming language.

7  
8 Referring first to **Figure 3**, the conversion proceeds as follows. After the start **302** of  
9 the conversion program, the assembler establishes at process block **304** the code page or code  
10 pages used in the source text for specifying the nominal value of the data to be converted to  
11 Unicode. Thereafter, at decision block **306**, the assembler determines whether the mapping  
12 tables needed for converting source data written in the source-data code pages are currently  
13 available. If they are, the assembler proceeds to process block **314** to begin the conversion  
14 process. Otherwise, if the mapping tables needed for the conversion are not currently available,  
15 then the assembler at process block **307** uses standard operating system services to load the  
16 appropriate mapping table. Thereafter, decision block **308** determines if the load of the  
17 mapping table was successful. If for any reason the loading process fails, then the assembler at  
18 process block **310** issues appropriate error messages and terminates its attempt to convert the  
19 constant **312**.

20  
21 Returning now to process block **314**, to begin the conversion process the assembler  
22 parses the source string to determine the number of characters it contains. These source  
23 characters can be SBCS or DBCS characters. The number of these characters is assigned to the  
24 variable NCS. Then, at process block **316**, the assembler sets a counter "K" for characters from  
25 the source string to 1. Thereafter, assembler process block **318** extracts the K-th character from  
26 the source string. Using the binary value of the character (which will be an 8-bit value for  
27 SBCS characters, and a 16-bit value for DBCS characters), assembler process block **320**  
28 extracts the Unicode character from the mapping table that whose position corresponds to that  
29 binary value. This extracted value is then stored in the K-th position of the target string, as

illustrated in **Figure 2**.

After each Unicode character is stored in the target string, assembler process block **322** increases the value of K by one, and its new value is then compared to the number of characters NCS by decision block **324**. If the value of K does not exceed the value of NCS, program control is returned to process block **318** to obtain and convert the next source character. If the value of K exceeds the value of NCS, then conversion of the constant is complete, and the Unicode character string is placed in the machine language of the object file for the program by process block **326**. Thereafter, the program ends at process block **312**.

The process of selecting source-string characters in process steps **316** through **324** of **Figure 3** are described in greater detail in **Figure 4** to show how SBCS and DBCS source characters are selected. The source string is assumed to have previously been validated for syntactic and semantic correctness. After the start **402** of the scanning process, the scanning process is initialized **404** by setting a scan pointer to the address of the first byte of the source string, the nominal value of the constant. Initialization also sets a binary switch to indicate that the scan will proceed initially in "Single-Byte" mode. This switch is also used to determine which Mapping Table (SBCS or DBCS) should be used to translate source characters to Unicode.

Thereafter, the byte pointed to by the scan pointer is checked by decision step **406** to see if it is a "Shift-Out" character, indicating the start of a DBCS string. If the character is not a Shift-Out character, program control proceeds to process step **408** which determines that the source characters are part of an SBCS character set. Process step **408** also uses the source character pointed to by the scan pointer as the index into the SBCS Mapping Table, as indicated in process step **320** of **Figure 3**, to perform the translation of process step **410** which translates the source character to Unicode. Thereafter, process step **412** increments the scan pointer by one byte to point to the next byte of the source string. Decision step **414** then determines if the scan pointer now points past the end of the source string. If the scan pointer

now points past the end of the source string, then the translation is complete, process step 416, and the assembler resumes normal statement processing, process step 418.

Returning now to decision step 406, if the byte pointed to by the scan pointer is a "Shift-Out" character, then control proceeds to process step 420 which increments the scan pointer by one byte, effectively discarding the "Shift-Out" character. The binary switch described at process step 404 is also set by process step 420 to indicate DBCS mode, thereby allowing selection of the current DBCS Mapping Table to perform the translation as illustrated in Figures 2 and 3. Thereafter, process step 422 uses the two bytes pointed to by the scan pointer as the source character. Process step 424 then translates this source character to Unicode using the DBCS Mapping Table. After the translation of the DBCS two-byte character, control proceeds to process step 426 which increments the scan pointer by two bytes to step over the DBCS source character just translated. Decision step 428 tests the following byte to determine if it is a "Shift-In" character, which would indicate the end of the DBCS portion of the source string. If the tested byte is not a "Shift-In" character, then program control returns to process step 422 to process the next DBCS source character. Otherwise, if the byte tested by decision step 428 is a "Shift-In" character, then program control proceeds to process step 430 which resets the binary switch to indicate that SBCS mode is now active. Thereafter, program control passes to the previously described process step 412 which increments the scan pointer by one byte, effectively discarding the "Shift-In" character.

### C.1.1. Length Modifiers

The Length modifier is supported by the assembler for most constant types. For character constants, it is written in the form:

DC CL(m)'This is a Character Constant'

where the generated machine language object code for the constant is required to have length exactly "m" bytes. This means that the character string in the nominal value field could either

be truncated (if m is smaller than the length of the nominal value string), or padded on the right with blanks (if m is larger than the length of the nominal value string). In the case of Unicode constants the implementation may or may not require that any length modifiers of the form:

DC CUL(m)'...'

DC GUL(m)'<...>'

must evaluate to even values of "m". If "m" is odd (indicating that a Unicode character does not contain the expected 16 bits), a diagnostic may be given and corrective action may be taken.

## C.2. Implementation Using Macro Instructions

Many assembler programs support some form of "macro-instruction" capability that allows the programmer to create new capabilities whose invocations resemble ordinary instructions.

### C.2.1. Macro Instruction to Perform Basic Checking

The most trivial level of Unicode support could be a macro instruction whose argument is a character string of hexadecimal digits, in which the user has manually encoded the representation of each Unicode character. The primary function of such a macro could be to validate that the argument string contains a multiple of four hexadecimal digits corresponding to an integral number of Unicode characters, and that each group of four hexadecimal digits corresponds to a true Unicode character. For example, a DCUX macro instruction could be written such that the user might write:

DCUX X'...hex data...'

or

DCUX '...hex data...'

or

1           DCUX   ...hex\_data...

2   and the macro could verify that the number of hexadecimal digits is a multiple of 4, and that  
3   the Unicode characters are valid.

### 6                   **C.2.2. Macro Instruction to Perform Checking and Conversion**

8           A more powerful technique for supporting the conversion of character data to Unicode  
9   characters is to create a macro definition with internal logic that performs a mapping similar to  
10   that illustrated in **Figures 3 and 4**. Implementation of such a macro definition could also  
11   include any needed mapping tables within the body of the definition.

13           An advantage of using macro instructions is that they utilize the existing facilities of the  
14   assembler, and therefore do not require changes to the internal operation of the assembler.  
15   Their primary disadvantage is that macro definitions must be executed interpretively when  
16   invoked, so they are slower than the same function implemented "natively" in the internal logic  
17   of the assembler. They also require extra coding for each additional code page being  
18   supported. Thus, macro instructions provide an excellent means for testing and validating  
19   conversion concepts, as well as a rapid development tool for situations where generality and  
20   speed are not critical.

22           In a typical implementation, a macro instruction would be defined in such a way that its  
23   arguments include a character string to be converted to Unicode, and an implicit indication  
24   (using the system variable symbols described above) or explicit indication (by providing a  
25   descriptive argument) of the CCSID of the code page in which the character string is  
26   represented. The macro instruction would then generate directly the machine language  
27   constant containing the Unicode data.

29           There are many ways to use macros for Unicode conversions. To illustrate, suppose the

following syntax is defined:

```
DCU  '...character data...',CODEPAGE=nnn,DBCS=sss
```

where the three operands have these meanings:

1. The first operand, '...character data...', consists of the character data to be converted to Unicode, enclosed in quotation marks recognizable by the macro processor.
2. The second operand, CODEPAGE=nnn, specifies the SBCS code page used for encoding the first operand. If omitted, this operand would imply a default value for the code page.
3. The third operand, if present, indicates that the first operand contains either mixed SBCS/DBCS or pure DBCS data, and provides the code page in which the DBCS data is encoded. If omitted, this operand would imply that the first operand contains only SBCS data.

An implementation of such a macro instruction which may be used to create Unicode character constants is illustrated in **Figures 5, 6, and 7**. It does not support the third operand described above, but is intended to illustrate how a macro instruction can be used for Unicode conversions. The macro uses the default code page with CCSID 500, the same as that used by the assembler for its syntactic character set plus other invariant characters. Extending the macro to accept other code pages is straightforward.

### C.3. Implementation Using External Functions

The High Level Assembler supports a powerful capability for calling externally-provided functions that can perform a variety of processing operations. Using an external function requires defining the function in such a way that the assembler can locate and call it during the assembly process, passing data supplied by the program to the function, and receiving values returned by the function. In the context of converting character data to

1 Unicode, a call to such a function could take a form such as the following:

2       &Returned\_Val SetCF 'Ext\_Func','character data','other\_parameters'

3 The symbol "&Returned\_Val" is where the called function "Ext\_Func" places its computed  
4 value as calculated from the other arguments. These arguments would typically include the  
5 character string to be converted to Unicode, the code page or code pages used in coding the  
6 character data, and any other values that might be useful to the external function. In practice,  
7 the returned value would normally be substituted into a character or hexadecimal  
8 constant, which the assembler would then map directly into the machine language form of the  
9 Unicode constant.

10  
11       An external function has much of the flexibility of the assembler itself: it can access  
12 mapping tables as needed, as well as any other services of the operating system environment in  
13 which the assembler itself is executing. Any error conditions can be reported to the assembler  
14 using a message passing interface.

15  
16       Further implementations of Unicode conversions could use a mixture of macro  
17 instructions and external functions, in such a way that the user writes a statement such as the  
18 following:

19       DCUNI '...character data...';CODEPAGE=37

20 and the macro instruction could then pass the character data, the code page CCSID, and any  
21 other useful or necessary information to an external function to perform the required  
22 conversion. It could also generate the character or hexadecimal constant directly, in such a way  
23 that the above DCUNI instruction appears to be "native" to the assembler itself. Other  
24 implementations using external functions are of course possible.

## UTF-8

UTF-8 is a special version of the Unicode representation, chosen for its suitability for transmission over communication protocols designed for eight-bit characters. These protocols are sensitive to specific eight-bit codes (such as control characters) that could appear in a stream of valid sixteen-bit Unicode characters, and the transmission of normal Unicode data would very likely be distorted. To avoid this problem, the Unicode standard defines UTF-8 as a reversible mapping of sixteen-bit Unicode characters to a special string of one to four eight-bit bytes, such that none of the eight-bit bytes have special meanings to transmission protocols.

The assembler could easily provide conversion of SBCS, mixed SBCS/DBCS, and pure DBCS data to the UTF-8 representation, thus avoiding the need for possibly expensive run-time conversions for each item of Unicode data being transmitted. In terms of the previous discussion:

1. Specification of a request for UTF-8 conversion can be provided globally, using an assembler option such as UTF8, or an operand on a \*PROCESS statement such as:

\*PROCESS UTF8

2. Specification of a request for UTF-8 conversion can be provided locally, using an operand of the ACONTROL statement, such as:

ACONTROL UTF8

3. Specification of a request for UTF-8 for an individual constant can be provided by a modifier, such as:

DC CUTF8'Characters to be converted to UTF-8'

Thus, it can be seen that all of the methods described above for specifying the scope of conversion to Unicode can be applied to the requirements for conversion to the UTF-8 representation. It should be noted that UTF-8 data is not required to occupy an even number of eight-bit bytes, so that possible checks and diagnostics for an even number of bytes would not apply. However, in situations where a length modifier causes improper truncation of a UTF-8 byte string, a diagnostic would be appropriate.

1 Using the foregoing specification, the invention may be implemented using standard  
2 programming and/or engineering techniques using computer programming software, firmware,  
3 hardware or any combination or sub-combination thereof. Any such resulting program(s),  
4 having computer readable program code means, may be embodied within one or more  
5 computer usable media such as fixed (hard) drives, disk, diskettes, optical disks, magnetic tape,  
6 semiconductor memories such as Read-Only Memory (ROM), Programmable Read-Only  
7 Memory (PROM), etc., or any memory or transmitting device, thereby making a computer  
8 program product, i.e., an article of manufacture, according to the invention. The article of  
9 manufacture containing the computer programming code may be made and/or used by  
10 executing the code directly or indirectly from one medium, by copying the code from one  
11 medium to another medium, or by transmitting the code over a network. An apparatus for  
12 making, using, or selling the invention may be one or more processing systems including, but  
13 not limited to, central processing unit (CPU), memory, storage devices, communication links,  
14 communication devices, servers, input/output (I/O) devices, or any sub-components or  
15 individual parts of one or more processing systems, including software, firmware, hardware or  
16 any combination or sub-combination thereof, which embody the invention as set forth in the  
17 claims. User input may be received from the keyboard, mouse, pen, voice, touch screen, or any  
18 other means by which a human can input data to a computer, including through other programs  
19 such as application programs, databases, data sets, or files.

20  
21 One skilled in the art of computer science will easily be able to combine the software  
22 created as described with appropriate general purpose or special purpose computer hardware to  
23 create a computer system and/or computer sub-components embodying the invention and to  
24 create a computer system and/or computer sub-components for carrying out the method of the  
25 invention. Although the present invention has been particularly shown and described with  
26 reference to a preferred embodiment, it should be apparent that modifications and adaptations  
27 to that embodiment may occur to one skilled in the art without departing from the spirit or  
28 scope of the present invention as set forth in the following claims.

## CLAIMS

I claim:

- 1 1. An article of manufacture for use in a computer system for creating a string of Unicode  
2 characters stored in the memory of the computer system, said article of manufacture comprising  
3 a computer-readable storage medium having a computer program embodied in said medium  
4 which causes the computer system to execute the method steps comprising:  
5       creating a constant whose data type is not a Unicode data type;  
6       storing a string of non-Unicode characters in the constant which is stored in the  
7 memory of the computer;  
8       retrieving a specification of a code page in which the non-Unicode character  
9 string is encoded;  
10       translating the non-Unicode character string stored in the constant into a  
11 Unicode character string responsive to the specification of the code page; and  
12       storing the Unicode character string in the constant stored in the memory of the  
13 computer,  
14 whereby the Unicode character string is created responsive to the entry of the non-  
15 Unicode character string without the entry of the Unicode character string.
- 1 2. The article of manufacture of claim 1 wherein the non-Unicode character string is a single  
2 byte character set (SBCS) string.
- 1 3. The article of manufacture of claim 1 wherein the non-Unicode character string is a pure  
2 double byte character set (DBCS) string.
- 1 4. The article of manufacture of claim 1 wherein the non-Unicode character string is a mixed  
2 SBCS and DBCS string.

1 5. The article of manufacture of claim 1 wherein the translation is performed by the computer  
2 according to a scope, the scope specifying a portion of a computer program subject to the  
3 translation.

1 6. The article of manufacture of claim 5 wherein the scope is global, the global scope  
2 specifying that the translation applies to the entire computer program.

1 7. The article of manufacture of claim 5 wherein the scope is local, the local scope specifying  
2 that the translation applies the subsequent portion of the computer program.

1 8. The article of manufacture of claim 5 wherein the scope is constant specific, the constant  
2 specific scope specifying that the translation applies only to a specific constant.

1 9. A method of creating a string of Unicode characters stored in a memory of a computer, said  
2 method comprising the steps of:

3           creating a constant whose data type is not a Unicode data type;  
4           storing a string of non-Unicode characters in the constant which is stored in the  
5 memory of the computer;  
6           retrieving a specification of a code page in which the non-Unicode character  
7 string is encoded;  
8           translating the non-Unicode character string stored in the constant into a  
9 Unicode character string responsive to the specification of the code page; and  
10          storing the Unicode character string in the constant stored in the memory of the  
11 computer,  
12 whereby the Unicode character string is created responsive to the entry of the non-  
13 Unicode character string without the entry of the Unicode character string.

14 10. The method of claim 9 wherein the non-Unicode character string is a single byte character  
15 set (SBCS) string.

16 11. The method of claim 9 wherein the non-Unicode character string is a pure double byte  
17 character set (DBCS) string.

18 12. The method of claim 9 wherein the non-Unicode character string is a mixed SBCS and  
19 DBCS string.

20 13. The method of claim 9 wherein the translation is performed by the computer according to a  
21 scope, the scope specifying a portion of a computer program subject to the translation.

22 14. The method of claim 13 wherein the scope is global, the global scope specifying that the  
23 translation applies to the entire computer program.



1 17. A computer system for creating a string of Unicode characters stored in a memory of the  
2 computer system, said computer system comprising:  
3 a constant whose data type is not a Unicode data type;  
4 a string of non-Unicode characters stored in the constant which is stored in the  
5 memory of the computer;  
6 a specification of a code page in which the non-Unicode character string is  
7 encoded retrievable from the memory of the computer system;  
8 a translator for translating the non-Unicode character string stored in the  
9 constant into a Unicode character string responsive to the specification of the code  
10 page; and  
11 memory for storing the Unicode character string in the constant stored in the  
12 memory of the computer,  
13 whereby the Unicode character string is created responsive to the entry of the non-  
14 Unicode character string without the entry of the Unicode character string.

1 18. The computer system of claim 17 wherein the non-Unicode character string is a single byte  
2 character set (SBCS) string.

1 19. The computer system of claim 17 wherein the non-Unicode character string is a pure  
2 double byte character set (DBCS) string.

1 20. The computer system of claim 17 wherein the non-Unicode character string is a mixed  
2 SBCS and DBCS string.

1 21. The computer system of claim 17 wherein the translation is performed by the computer  
2 according to a scope, the scope specifying a portion of a computer program subject to the  
3 translation.

1 22. The computer system of claim 21 wherein the scope is global, the global scope specifying  
2 that the translation applies to the entire computer program.

1 23. The computer system of claim 21 wherein the scope is local, the local scope specifying that  
2 the translation applies the subsequent portion of the computer program.

1 24. The computer system of claim 21 wherein the scope is constant specific, the constant  
2 specific scope specifying that the translation applies only to a specific constant.

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## ABSTRACT

### **METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR CREATING AND CONVERTING TO UNICODE DATA FROM SINGLE BYTE CHARACTER SETS, DOUBLE BYTE CHARACTER SETS, OR MIXED CHARACTER SETS COMPRISING BOTH SINGLE BYTE AND DOUBLE BYTE CHARACTER SETS**

Methods for specifying the types of constants whose character values are to be converted to Unicode; for specifying which code page or pages are used for specifying the character encodings used in the source program for writing the character strings to be converted to Unicode; and that can be used to perform conversions from SBCS, mixed SBCS/DBCS, and pure DBCS character strings to Unicode. A syntax suitable for specifying character data conversion from SBCS, mixed SBCS/DBCS, and pure DBCS representation to Unicode utilizes an extension to the conventional constant subtype notation. In converting the nominal value data to Unicode, currently relevant SBCS and DBCS code pages are used, as specified by three levels or scopes derived from either global options, from local AOPTIONS statement specifications, or from constant-specific modifiers. Global code page specifications apply to the entire source program. These global specifications allow a programmer to declare the source-program code page or code pages just once. These specifications then apply to all constants containing a request for conversion to Unicode. Local code page specifications apply to all subsequent source-program statements. These local specifications allow the programmer to create groups of statements containing Unicode conversion requests, all of which use the same code page or code pages for their source-character encodings. Code page specifications that apply to individual constants allow a detailed level of control over the source data encodings to be used for Unicode conversion. The conversion of source data to Unicode may be implemented inherently to the translator (assembler, compiler, or interpreter) wherein it recognizes and parses the complete syntax of the statement in which the constant or constants is specified, and performs the requested conversion. Alternatively, an external function may be

- 1 invoked by a variety of source language syntaxes which parses as little or as much of the source
- 2 statement as its implementation provides, and returns the converted value for inclusion in the
- 3 generated machine language of the object program. Alternatively, the conversion may be
- 4 provided by the translator's macro instruction definition facility.

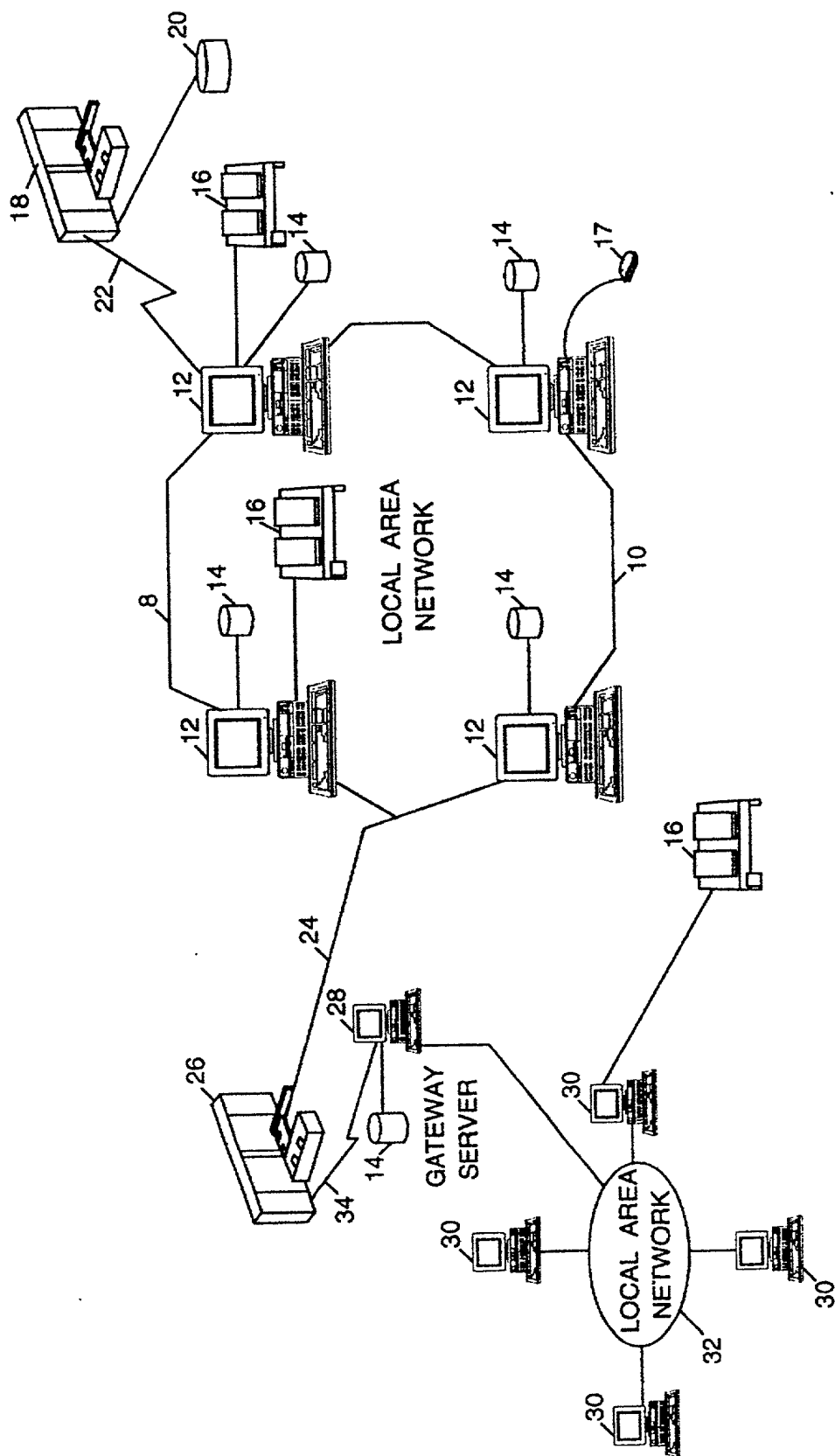
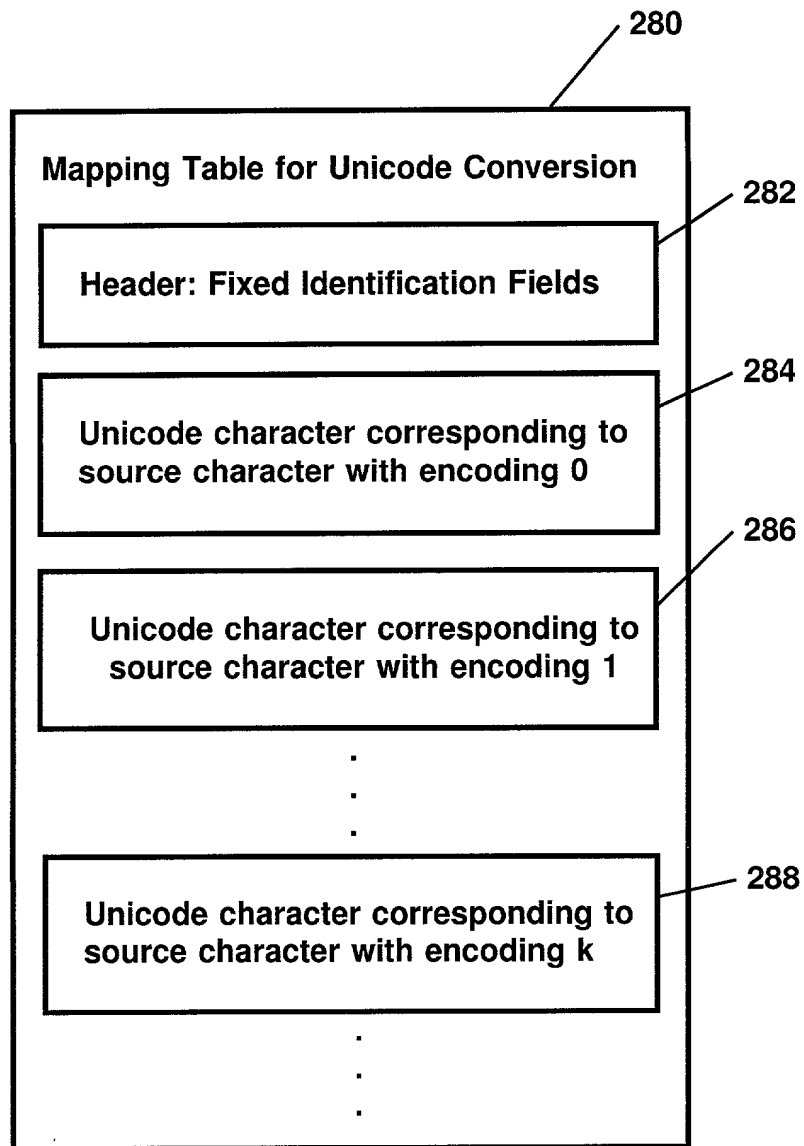
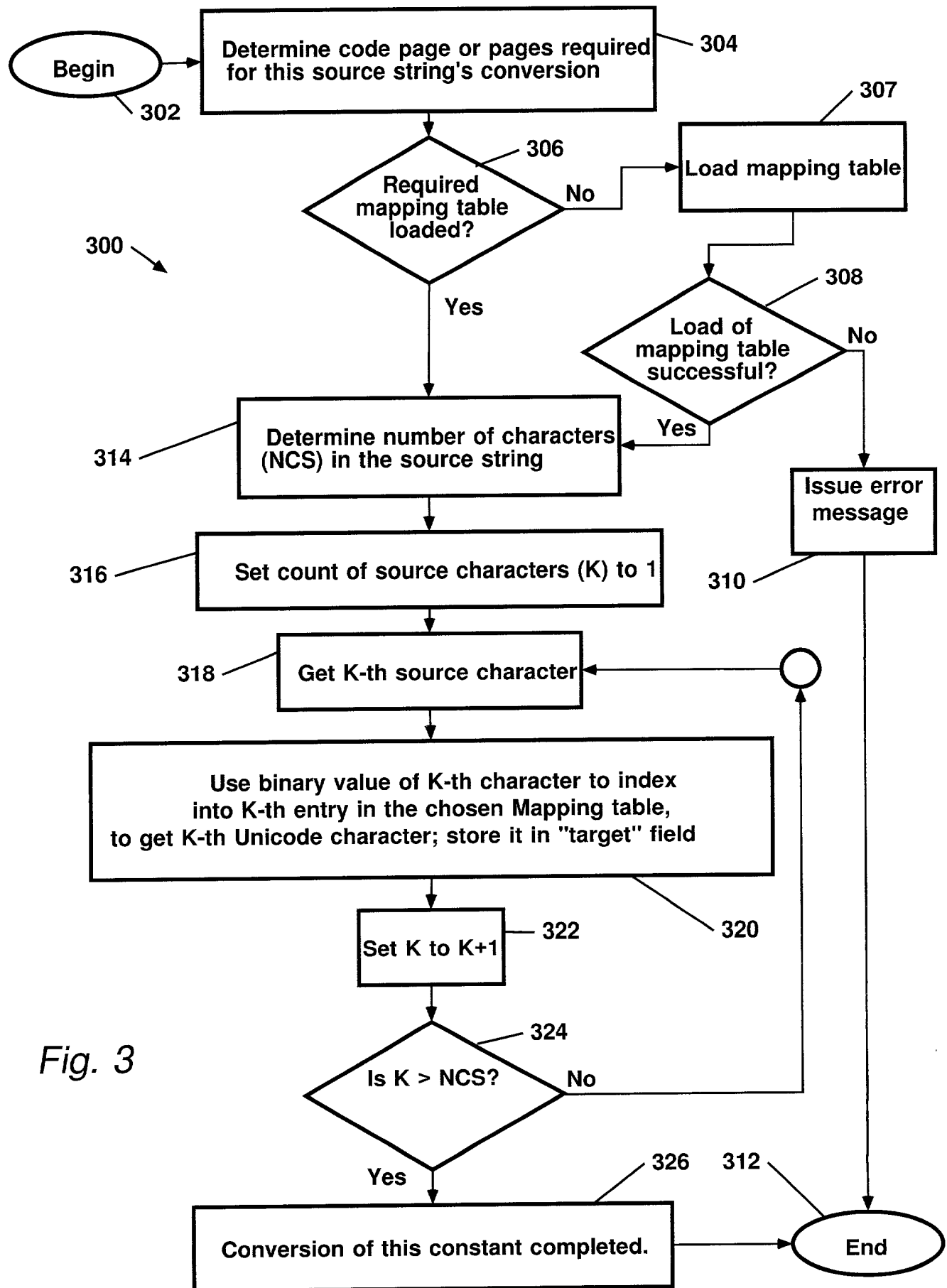


FIG. 1

200



*Fig. 2*



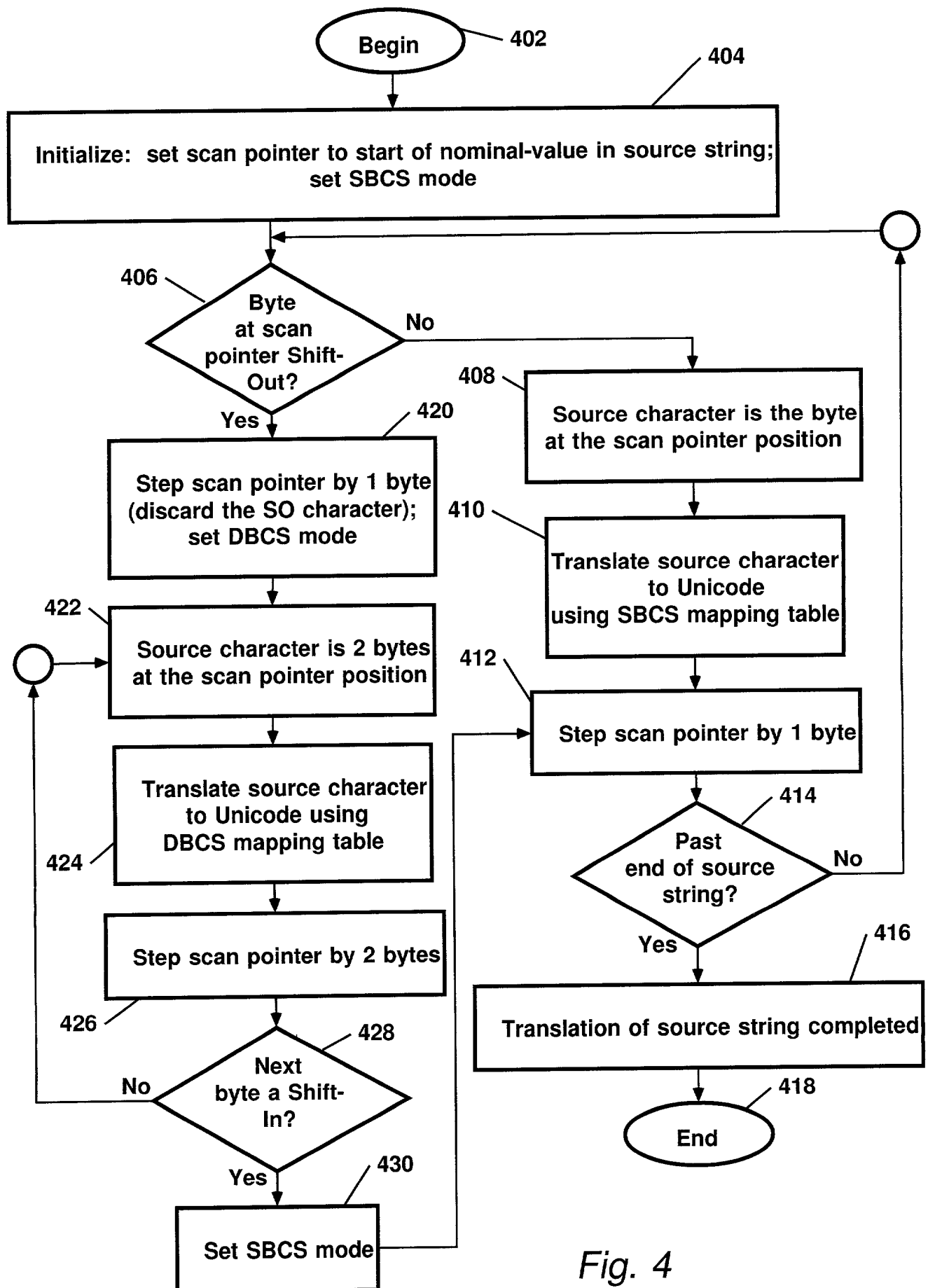


Fig. 4

[illegible]

## Macro

```

*
* Expected argument: an apostrophe-delimited string of one or
* more EBCDIC characters, with paired internal apostrophes and
* ampersands. The pairing is preserved in the output string if
* &Pair=Yes, and is not if &Pair=No.
*
* Initial limitation: max of 63 characters in quoted argument,
* except for paired characters if &Pair=No.

```

---

---

---

```

.*
.V6      ANop ,
        Alf ('&CodePage' eq '500').V7  Check code page
        MNote 8,'DCU — Code Page &CodePage not supported yet.'
        MExit

.*
.V7      ANop ,
.*-----*
.*      Arguments validated. Set SBCS and Unicode character sets  *
.*-----*
.VX      ANop ,          Set up mapping table
&J      SetA &J+1
&M(&J)  SetC "           Initialize to null
        Alf (&J lt 256).VX  Loop for all 256 code points

.*
&V      SetC '0123456789ABCDEFGHIJKLMNOPQRSTUVWXYZabcdefghijklmnopqrstuvwxyz_@#%&&*()-+=,./:;<>""?' '

.*
.*      The following is the conversion table from CCSID 500 to Unicode
.*
&U      SetC '303132333435363738394142434445464748494A4B4C4D4E4F50515*
        2535455565758595A6162636465666768696A6B6C6D6E6F707172737*
        475767778797A5F4023242526262A28292D2B3D2C2E2F3A3B273C3E2*
        23F20'

.*
.*      Note: Conditional-assembly string constants require paired
.*      apostrophes and ampersands; ampersands are not reduced to a
.*      single character internally. Thus, the encoding for & appears
.*      twice in the &U encoding string above.
.*
&J      SetA 1
.*-----*
.*      Build the EBCDIC-to-Unicode mapping table
.*-----*

.VY      ANop
&C      SetC (Double '&V'(&J,1)) Pick character from valid string
&C      SetC 'C"&C"'          Character in self-defining term
&N      SetA &C+1              Convert to numeric
&M(&N)  SetC '&U'(2*&J-1,2)   Put Unicode digits in mapping table
&J      SetA &J+1              Increment &J
        Alf (&J le K'&V).VY  Set up all valid encodings

```

600

Fig. 6

```

.*
.*      Convert each SBCS argument character to Unicode equivalent      *
.*
&J      SetA 2                      Start after initial apostrophe
.*
.Z      ANop ,                      Head of translation loop
&C      SetC '&A'(&J,1)             &J-th character from argument
700 →   Alf ('&C' ne "" and '&C' ne '&&'(1,1)).Z1 Is it '& ?
        Alf (&P).Z1                 Have '&, is pairing wanted?
&J      SetA &J+1                    No pairing, step input by one
.Z1     ANop ,
&C      SetC (Double '&C')          Pair '& for self-defining term
&C      SetC 'C"&C"'                Change to arithmetic value
&N      SetA &C+1                    Convert to numeric
&C      SetC '&M(&N)'               Get Unicode mapping
        Alf ('&C' ne ").Z2          Validly mappable if not null
        MNote 4,'DCU — Unknown character at position &J converted
        to blank.'
&C      SetC '20'                    Unicode blank
.*
.Z2     ANop ,
&R      SetC '&R.00&C'              Add new character to end
&J      SetA &J+1
        Alf (&J lt K'&A).Z          Repeat for all internal characters
.*
.*      Generate the requested Unicode constant                          *
.*
&L      DC X'&R'
        MEnd

```

*Fig. 7*

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

DOCKET: STL9-2000-0055

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR CREATING AND CONVERTING TO UNICODE DATA FROM SINGLE BYTE CHARACTER SETS, DOUBLE BYTE CHARACTER SETS, OR MIXED CHARACTER SETS COMPRISING BOTH SINGLE BYTE AND DOUBLE BYTE CHARACTER SETS**

the specification of which (check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_

as Application Serial No. \_\_\_\_\_

and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)	Priority Claimed
<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country) (Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

None		
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

DOCKET: STL9-2000-0055

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

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